



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Su Hwan Oh, et al.

Application No.: 10/749,043

Filed: December 29, 2003

For: Sampled Grating Distributed Feedback Wavelength

Tunable Semiconcuctor Laser Integrated With Sampled

Grating Distributed Bragg Reflector

Art Group: 2828

Examiner: Armando Rodriguez

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

-1- 5882P072

The references were cited in a communication in a counterpart EPO application on March 21, 2005, in a counterpart EPO application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, ŢAYLOR & ZAFMAN LLP

Date: January 06, 2006

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Erin Flynn

01-06-06

Date

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| | INFORMATION DISCLOSURE | | | NOLIDE. | Application Number | 10/749,043 | |
| MAN | | | | SUKE | Filing Date | December 29, 2003 | |
| | STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | First Named Inventor | Su Hwan Oh | |
| | | | | | Art Unit | 2828 | |
| Ļ | | | | | Examiner Name | Armando Rodriguez | |
| | Sheet | 1 | of | 1 | Attorney Docket Number | 5882P072 | |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------|---|------------------|--|---|
| Examiner Initials* | Cite No.1 | Document Number Number - Kind Code ² (if known) | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| | | Japan - 11-340566 | 12-10-1999 | | | |
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*Examiner. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.